



Attorney Docket No.: 802-001

9P 2/18/01
21/01/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Krumel

Serial No.: 09/611,775

Filed: July 7, 2000

For: Real Time Firewall/Data Protection Systems
and Methods

)
)
) Art Unit: Unassigned
)
)
) Examiner: Unassigned
)
)
)

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED
OCT 20 2000
TECH CENTER 2700

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications or (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120:

Serial No.: _____

Filing Date: _____

Regarding the document(s), publication(s) or other information listed on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information cited herein.

2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):



- (a) ☐ Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
- (b) ☐ Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents."
3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):
- (a) ☐ Within 3 months of the filing date or date of entry into the National Stage.
- (b) ☒ Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 50-0251 for consideration of this Statement.
- (c) ☐ After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.
- (1) ☐ The required certification is given below, or
- (2) ☐ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement, or
- (3) ☐ Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 50-0251
- (d) ☐ After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.
- (1) ☐ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(i)(1), or
- (2) ☐ Charge the fee set forth in 37 C.F.R. 1.17(i)(1) to Deposit Account No. 50-0251.
4. Certification (if applicable)
- (a) ☐ The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent

RECEIVED
OCT 20 2000
TECH CENTER 2700

office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.

- (b) X The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.

5. The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-0251 or backup account 12-2175.



Respectfully submitted,

Alan R. Loudermilk

Alan R. Loudermilk
Registration No. 32,788
Attorney for Applicant(s)

RECEIVED
OCT 20 2000
TECH CENTER 2700

October 12, 2000
10950 N. Blaney Ave., Suite B
Cupertino, CA 95014
(408) 342-1866

I hereby certify that the foregoing is being deposited with the U.S. Postal Service, postage prepaid, to the Assistant Commissioner for Patents, Washington, DC 20231 this 12th day of October, 2000.

Karen A. Rogers

Karen A. Rogers